

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23, 2021

# A. Category Wise Investor Complaint Data

1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board

Data for month ending July 2023 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	. Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	'Nil	Nil	Not Applicable
£	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	March,2023	Nil	Nil	Nil	Nil
2.	April, 2023	Nil	Nil	Nil	Nil
3.	May, 2023	Nil	Nil	Nil	Nil
4.	June, 2023	Nil	Nil	Nil	Nil
5.	July, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil .	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	Nil	Nil	Nil	Nil
3.	2023	Nil	(a)	@,	@
4.	2024	(a),	(a)	@,	@
5.	2025	@,	(a),	@,	@
Gr	and Total	-	- 2 4 1	-	-

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.

# 3. Qualified Institutional Placements (QIP):

Data for month ending July 2023 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	March, 2023	Nil	· Nil	Nil	Nil
2.	April, 2023	Nil	Nil	Nil	Nil
3.	May, 2023	Nil	Nil	Nil	Nil
4.	June, 2023	Nil	Nil :	Nil	Nil
5.	July, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	Nil	Nil	Nil	Nil
3.	2023	Nil	@,	@	@
4.	2024	(0)	(a),	(0),	@
5.	2025	(a),	@	·@,	@
Gı	and Total	-		-	-

<sup>@</sup>The relevant period has not been completed

# 5. Initial Public Offer/Follow on Public Offer including Offer For Sale: SME

Data for month ending July 2023 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil :	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
1	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	March, 2023	Nil	Nil	Nil	Nil
2.	April, 2023	Nil	Nil	Nil	Nil
3.	May, 2023	Nil	Nil	Nil	Nil
4.	June, 2023	Nil	Nil	Nil	Nil
5.	July, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	Nil	Nil	Nil	Nil
3.	2023	Nil	(a)	@	@
4.	2024	(a)	(a),	@	(a),
5.	2025	(0)	a,	@	@
Gı	and Total	-			-

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.

#### 7. Delisting:

Data for month ending July 2023 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	March, 2023	Nil	Nil	Nil	Nil
2.	April, 2023	Nil	Nil	Nil	Nil
3.	May, 2023	Nil	Nil	Nil	Nil
4.	June, 2023	Nil	Nil	Nil	Nil
5.	July, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	Nil	Nil	Nil	Nil
3.	2023	Nil	(a)	@	@
4.	2024	(0)	(a),	(a),	<u>a</u>
5.	2025	@	(a)	@,	@,
Gı	and Total	-		-	-

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.

#### 9. Private Placement of Non-Convertible Securities

# Data for month ending July 2023 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	BI (SCORES) Nil	Nil	Nil	Nil	Nil	Not Applicable
3.	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	March, 2023	Nil	Nil	Nil	Nil
2.	April, 2023	Nil	Nil :	Nil	Nil
3.	May, 2023	Nil	Nil	Nil	Nil
4.	June, 2023	Nil	Nil	Nil	Nil
5.	July, 2023	Nil	Nil	Nil	Nil
Grand Total		Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#### Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Nil
2.	2022	Nil	Nil	Nil	Nil
3.	2023	Nil	(a),	.@,	(a)
4.	2024	(a)	(a),	@	(a)
5.	2025	(0)	@ .	@,	(a)
Gı	and Total	-		-	-

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.

#### 11. Public Issue of Debt Securities

# Data for month ending July 2023 is as follows:

SN	Received From	Pending as at the end of last month	Received During the particular month	Resolved During the particular month*	Total pending During the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Not Applicable
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Not Applicable
3. Stock Exchanges (if relevant)		Nil	Nil	Nil	Nil	Nil	Not Applicable
4.	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Not Applicable
	Grand Total	Nil	Nil	Nil	Nil	Nil	Not Applicable

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1.	March, 2023	Nil	Nil	Nil	Nil
2.	April, 2023	Nil	Nil .	Nil	
3.	May, 2023	Nil	Nil		Nil
4.	June, 2023	Nil		Nil	Nil
5.			. Nil	Nil	Nil
٥.	July, 2023	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	Nil	Nil	Nil	Vil
2.	2022	Nil	Nil	Nil	Nil
3.	2023	Nil	@,		Nil
4.	2024	(a)	^	(a)	(a)
5.	2025	@	(0)	(a)	@
	and Total	(10)	(a)	(a)	@
OI.	and rotar	-	-		

<sup>#</sup>Inclusive of complaints pending as on the last day of the month.